



A STRATEGIC PATH TO **INDEPENDENCE**

RIAS

ALL THE MOVING PARTS, PERFECTLY ALIGNED.

Most advisors entering independence find themselves managing multiple vendors, consultants, and service providers.



Business Formation
& Launch Strategy



Compliance
Infrastructure



Technology &
Operations Stack



Marketing &
Branding



Advisor Practice
Management



Ongoing Advisor
Support Desk



Custodian & Vendor
Coordination



Continuum
Advantage (HR)

Through an integrated suite of services from transition planning to ongoing operations and business growth, we help advisors launch and grow with greater efficiency, fewer handoffs, and a more cohesive strategy.

01
LAUNCH WITH A PLAN

02
OPERATE WITH CONFIDENCE

03
GROW DISCIPLINE



The Myriad Difference

BUILT FOR TODAY. POSITIONED FOR TOMORROW.

Transitioning to independence is only the beginning. Myriad helps advisors build firms that are designed to scale through the right combination of technology, automation, operational infrastructure, and experienced guidance.

While others focus on getting you launched, we remain invested long after day one—helping you adapt, grow, and stay ahead as your business evolves.

Advisor Support Across the Full Lifecycle

Ongoing support and strategic guidance long after your transition is complete.

SIMPLIFYING THE SYSTEMS THAT POWER YOUR BUSINESS

From cloud infrastructure and fintech integrations to cybersecurity and day-to-day support, Myriad delivers technology solutions built specifically for independent financial firms.

We design and manage secure, scalable environments that reduce operational friction, strengthen compliance readiness, and evolve alongside your business, so you can stay focused on clients, not complexity.

Your technology stack should enable growth, not limit it.

Ongoing support and strategic guidance long after your transition is complete.

BUILT FOR WHAT'S NEXT

Workplace Technology
& Support



Fintech Consulting
& Customization



Infrastructure &
Cloud Support



Cybersecurity &
Risk Management



DESIGNED TO SCALE WITH YOUR BUSINESS

AI and automation are rapidly transforming how advisory firms operate, but success depends on more than simply adopting new tools.

Our Approach to Growth Through Automation

- 01 Built on the Right Foundation
- 02 Designed for Efficiency
- 03 Built for Growth



The Right Partner for AI and Automation

The most effective automation strategies combine the right technology, intelligent workflows, and experienced guidance.

We help firms implement solutions that work together seamlessly, creating efficiencies while maintaining security, compliance, and operational control.

COMPLIANCE WITHOUT THE COMPLEXITY



THE CHALLENGE

Compliance is one of the most persistent operational challenges for financial firms, requiring constant attention to evolving regulations, documentation requirements, and supervisory obligations.



THE OUTCOME

Rather than relying solely on software, firms gain a coordinated compliance framework backed by a dedicated team from Myriad. Our team helps monitor activity, document processes, and maintain the records, approvals, disclosures, attestations, and reviews regulators expect.



THE SOLUTION

4C is Myriad's proprietary compliance platform that combines workflow automation, centralized oversight, and ongoing support from experienced compliance professionals.

Technology provides the structure. Myriad provides the expertise. Together, they help firms stay compliant, organized, and prepared for growth.

COMPLIANCE

STRONG *TEAMS*. STRONG *BRANDS*. STRONGER *FIRMS*.

For more than a decade, Myriad has partnered with independent financial firms to support two of the most critical drivers of success, your people and your brand.

From HR infrastructure and operational support to marketing strategy and execution, we help firms build organizations that attract talent, serve clients effectively, and grow with confidence.



Marketing Support

- > Brand Development & Digital Presence
- > Websites, Content & Campaigns
- > Social Media & Advisor Marketing Support
- > Print, Collateral & Design Services



HR Support

- > Onboarding FA's & Employee Lifecycle Support
- > HR Documentation & Compliance Guidance
- > Recruiting & Talent Support
- > Payroll, Benefits & Policy Consulting

THE **MYRIAD** FRAMEWORK



Decide

Confirm the business model, economics, registration path, target client, and transition priorities.



Build

Create the operating structure, technology stack, compliance documents, workflows, and launch checklist.



Launch

Coordinate vendor onboarding, account opening, client communications, task tracking, and issue resolution.



Operate

Maintain compliance routines, improve service delivery, monitor profitability, and support growth decisions.

Core Launch Materials

- RIA Launch Timeline & Responsibility Matrix
- Registration & Disclosure Document Checklist
- Vendor & Technology Implementation Map
- Client Transition & Communication Checklist
- Initial Operating Budget & Service Model Worksheet

Ongoing Operating Tools

- Compliance Calendar & Evidence Logs
- Policies, Procedures, & Annual Review Support
- Client Onboarding & Service Workflow Templates
- Cybersecurity & Records Management Checklist
- Advisor Education, Office Hours, & Support Desk Access

Transitioning to independence is just the first step. Myriad remains by your side after the transition, providing integrated support across operations, compliance, technology, marketing, and business growth so you can focus on serving clients and building your future.

MORE THAN A TRANSITION PARTNER

DO WHAT
YOU DO BEST.

LET US DO
THE REST.®